

Disclosure Statement



Name of financial adviser: Ian James Wallace

Address: Unit 1B, 14 Link Drive, Wairau Park, Auckland 0627

Trading name: Wallace Insurance Brokers Limited

Telephone number: 09-443 1900

Fax number: 09-443 1911

Email address: service@wallaceinsurance.co.nz

This disclosure statement was prepared on: 1 April 2012

It is important that you read this document

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

What sort of adviser am I?

I am a registered, but not authorised, financial adviser.

I can give you advice about:

- Term Life Insurance, Disability Income Insurance, Medical Insurance, Business Partnership and Key Person Insurance
- Investments in bank term deposits, call debt securities and cash or term portfolio investment entities

What should you do if something goes wrong?

If you have a problem, concern, or complaint about any part of my service, please tell me so that I can try to fix the problem.

If we cannot agree on how to fix the issue, you can contact our external complaints scheme, Financial Services Complaints Ltd.

This service will cost you nothing, and will help us resolve any disagreements. You can contact Financial Services Complaints Ltd at—

Address: 4th Floor, 101 Lambton Quay, Wellington

Telephone number: 0800 347 257

Email address: info@fscl.org.nz

How am I regulated by the Government?

You can check that I am a registered financial adviser at <http://www.fspr.govt.nz>.

The Financial Markets Authority regulates financial advisers. Contact the Financial Markets Authority for more information, including financial tips and warnings.

You can report information or complain about my conduct to the Financial Markets Authority, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under **What should you do if something goes wrong?**).

Declaration

I, Ian James Wallace, declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

Signed:

Disclosure Statement

Client Acknowledgement

Please sign and date this acknowledgement, confirming you have received this disclosure statement.

I/We the undersigned acknowledge that I/we have received a copy of Ian James Wallace's disclosure statement dated: 1 April 2012.

Client Name: _____

Signature: _____

Client Name: _____

Signature: _____

Date: _____